Docket 44261-4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

PEDERSON, Larry V. et al.

Group Art Unit: 3737

Serial No.:

09/710,782

**Examiner: Daniel Robertson** 

For:

LIGHT THERAPY DEVICE

## INFORMATION DISCLOSURE STATEMENT

[]1

Pursuant to 37 CFR 1.97(b)

[within 3 months of filing or prior to 1st Office Action]

[]2

Certification Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

[]3

Fee Payment Pursuant to 37 CFR 1.97(c)

[before Final Office Action or Allowance]

[XX]4

Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)

[before issue fee payment]

Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231, U.S.A.

Attention: Petitions Examiner

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[XX]5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[]6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

[]7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

[]8 The required certification made in item 11 below; or

[]9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[XX]10 37 CFR §1.97(d): [before issue fee payment]; and

- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below; and
- (b) The required Certification is stated in item 11 below.

## [XX]11 Certification

[XX]12 Each item of information contained in this Statement

was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;

or

[]13 No item of information contained in this Statement

was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after

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making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX]14

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. <u>02-2057</u>. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

Roseann B. Caldwell Reg/No. 37,077

Bennett Jones LLP Suite 4500 855 - 2nd Street S.W. Calgary, Alberta CANADA T2P 4K7

(403) 298-3661

Date: 045/04

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Under the Repropried a value of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet 1

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/710,782			
Filing Date	11/13/2000			
First Named Inventor	Pederson			
Art Unit	3742			
Examiner Name	Robinson			
Attorney Docket Number	44261-4			

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code <sup>2 (f known)</sup>			
		<sup>US-</sup> 5,923,398	07-13-1999	Goldman	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	Τ,
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Examiner	 Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>8</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.